

03-05-02

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13c355 U.S. PTO

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 600-1-253CON
First Inventor Xiaokui Zhang
Title Methods for Identifying Modulators...
Express Mail Label No. EV 052401636 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 52]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 9]
5. Oath or Declaration [Total Pages 3]
 - a. ☐ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
 - b. ☒ (for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☒ paper
 - c. ☒ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. **copy from prior App.
17. ☒ Other: Request transfer of computer sequence

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. 09, 387,418

Prior application information:

Examiner T.A. McKelvey

Group Art Unit: 1636

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

23565

or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

David A. Jackson

Registration No. (Attorney/Agent)

26,742

Signature

Date

March 4, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1,200.00

Complete if Known

Application Number	Unassigned
Filing Date	Herewith
First Named Inventor	Xiaokui Zhang
Examiner Name	T.A. McKelvey
Group Art Unit	1636
Attorney Docket No.	600-1-253CON

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 11-1153
Deposit Account Name KLAUBER & JACKSON

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:
☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	370
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ 370.00

2. EXTRA CLAIM FEES

Total Claims 78
Independent Claims 7
Multiple Dependent
Extra Claims
-20** = 58
-3** = 4
Fee from below
9
42
Fee Paid
522
168
140

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 830.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Fee Code	Large Entity (\$)	Small Entity (\$)	Fee Code	Large Entity (\$)	Small Entity (\$)	Fee Description	Fee Paid
105 130	205 65					Surcharge - late filing fee or oath	
127 50	227 25					Surcharge - late provisional filing fee or cover sheet	
139 130	139 130					Non-English specification	
147 2,520	147 2,520					For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*					Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*					Requesting publication of SIR after Examiner action	
115 110	215 55					Extension for reply within first month	
116 400	216 200					Extension for reply within second month	
117 920	217 460					Extension for reply within third month	
118 1,440	218 720					Extension for reply within fourth month	
128 1,960	228 980					Extension for reply within fifth month	
119 320	219 160					Notice of Appeal	
120 320	220 160					Filing a brief in support of an appeal	
121 280	221 140					Request for oral hearing	
138 1,510	138 1,510					Petition to institute a public use proceeding	
140 110	240 55					Petition to revive - unavoidable	
141 1,280	241 640					Petition to revive - unintentional	
142 1,280	242 640					Utility issue fee (or reissue)	
143 460	243 230					Design issue fee	
144 620	244 310					Plant issue fee	
122 130	122 130					Petitions to the Commissioner	
123 50	123 50					Processing fee under 37 CFR 1.17(q)	
126 180	126 180					Submission of Information Disclosure Stmt	
581 40	581 40					Recording each patent assignment per property (times number of properties)	
146 740	246 370					Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370					For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370					Request for Continued Examination (RCE)	
169 900	169 900					Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$.00

SUBMITTED BY

Name (Print/Type)	David A. Jackson	Registration No. (Attorney/Agent)	26,742	Complete (if applicable)	Telephone	(201) 487-5800
Signature		Date	March 4, 2002			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Xiaokui Zhang et al.

SERIAL NO. : Unassigned EXAMINER : Unknown

FILED : Herewith ART UNIT : Unknown

FOR : METHODS FOR IDENTIFYING MODULATORS OF
TRANSCRIPTIONAL ACTIVATOR PROTEIN
INTERACTIONS

EXPRESS MAIL "MAILING LABEL NO." : EV052401636US
DATE OF DEPOSIT : March 4, 2002

STATEMENT IN SUPPORT OF THE FILING/SUBMISSION OF A
NUCLEOTIDE/AMINO ACID SEQUENCE LISTING IN
ACCORDANCE WITH 37 CFR §1.821 - 1.825

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

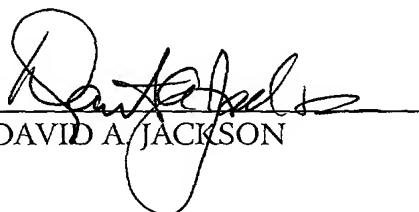
Dear Sir:

DAVID A. JACKSON, attorney of record, hereby states as follows:

1. I hereby state that the content of the paper and computer readable copies of the Sequence Listing submitted in accordance with 37 CFR §1.821(c) and (e), respectively, are the same.

2. I hereby state that the submission, filed in accordance with 37 CFR §1.821(g) herein does not include new matter.

DATED: March 4, 2002


DAVID A. JACKSON

10090135 030302

[illegible]

APPLICANT : XIAOKUI ZHANG ET AL.

SERIAL NO. : UNASSIGNED

EXAMINER : UNKNOWN

FILED : HERewith

ART UNIT : UNKNOWN

FOR : METHODS FOR IDENTIFYING MODULATORS OF
TRANSCRIPTIONAL ACTIVATOR PROTEIN INTERACTIONS


EXPRESS MAIL "MAILING LABEL NO." : EV052401636US
DATE OF DEPOSIT : March 4, 2002

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Sir:

Applicants request that the previously submitted sequence information filed in computer readable form in Application Number 09/387,418 be used in the present Application. This sequence information was the only computer readable form filed in that Application.

Respectfully submitted,


DAVID A. JACKSON
Attorney for Applicant(s)
Registration No. 26,742

KLAUBER & JACKSON
411 Hackensack Avenue
Hackensack, NJ 07601
(201) 487-5800

Date: March 4, 2002